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RT	LEODM	Application No.	09/964,820
TRANSMITTA		Filing Date	September 26, 2001
(to be used for all correspondence after initial filing)		First Named Inventor	David G. Leeper
		Group Art Unit	Not assigned
		Examiner Name	Not assigned
Total Number of Pages in This Su	ubmission	Attorney Docket Number	42390P10398
E	NCLOSURES (ch	eck all that apply)	
Fee Transmittal Form	Drawing	(s)	After Allowance Communication to Group
Co. Amarkad	<u> </u>		Appeal Communication to Board

Fee Transmittal Form	ENCLOSURES (check all that apply)						
Amendment / Response	Fee Transmittal	ansmittal Form Drawing(s)					
After Final After Final After Final After Final Aftidavits/declaration(s) Perovisional Application Provisional Application Status Letter Status Letter Change of Correspondence Address (please identify below): Express Abandonment Request Express Abandonment Request CD, Number of CD(s) Status Letter Copies of two cited references CD, Number of CD(s) Provisional Application Change of Correspondence Address (please identify below): Information Disclosure Statement Express Abandonment Request CD, Number of CD(s) CD, Number of CD(s) Copies of two cited references CD, Number of CD(s) CD, Number of CD(s) Response to Missing Parts/Incomplete Application Remarks Remarks Received Application Remarks Report - Return Postcard Report - Return Postcard Report - Return Postcard Technology Center 2600 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paul A. Mendonsa, Reg. No. 42,879 Individual name BLAKALLY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION Dereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Fee Attac	hed	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Affidavits/declaration(s) Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Arequest Terminal Disclaimer PTO/SB/08 Centified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Basic Filing Fee Declaration/POA Persponse to Missing Parts/Incomplete Application Response to Missing Fee Declaration/POA Response to Missing Parts/Incomplete Application Remarks RECEIVED JUN 1 0 2(03) Technology Center 2600 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name Paul A. Mendonsa, Reg. No. 42,879 BIAKILLY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Amendment / Re	esponse	Petition				
Extension of Time Request			Petition to Convert a Provisional Application	Proprietary Information			
Express Abandonment Request Terminal Disclaimer	Extension of Tim	ne Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Copy of PCT Search Report Remarks Remarks Received	Express Abando	onment Request	Terminal Disclaimer	1 IAI			
Report Response to Missing Parts/ Incomplete Application Basic Filing Fee Declaration/POA Decl	Information Disclosure Statement		Request for Refund	1 1 -			
Response to Missing Parts/ Incomplete Application Basic Filing Fee Declaration/POA JUN 1 0 2003 Response to Missing Parts/ Incomplete Application Basic Filing Fee Declaration/POA JUN 1 0 2003 Technology Center 2600 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Or Individual name BIAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Certified Copy of Priority		CD, Number of CD(s)	Report			
Remarks RECEIVED Basic Filing Fee JUN 1 0 2003 Response to Missing Parts under 37 CFR Technology Center 2600 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name BLAKILY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.				- Return Fostcard			
Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paul A. Mendonsa, Reg. No. 42,879 Individual name BLAK/LY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Incomplete Application		Remarks	RECEIVED			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Paul A. Mendonsa, Reg. No. 42,879 Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Decla	aration/POA		JUN 1 0 2003			
Firm or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	│	er 37 CFR	<u>.</u>	Technology Center 2600			
Individual name BLAKFLY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		SIGNATUR	E OF APPLICANT, ATTORNEY, OR AG	ENT			
Signature Date June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		Paul A. Mendo	nsa, Reg. No. 42,879				
Date June 3, 2003 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Individual name	BLAKKLY, S	OKOLOFF, TAYLOR & ZAFMA	AN LLP			
CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Signature						
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	Date June 3, 2003						
sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		CERTIF	ICATE OF MAILING/TRANSMISSION				
Typed or printed name Derek S. Watson	I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA						
	Typed or printed na	me Derek S. Wa	itson				

22313-1450.				-
Typed or printed name	Derek S. Watson			_
Signature	DRS. all	Date	June 3, 2003	_
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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

(\$)

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known

Application Number 09/964,820

Filing Date September 26, 2001

First Named Inventor David G. Leeper

Examiner Name Not assigned

Group/Art Unit Not assigned

Attorney Docket No. 42390P10398

06/03/03

Date

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Account Number		02-2666		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account B1	lakely, Sok	coloff, Taylor & Zafr	nan LLP	2053	130	2053	130	Non-English specification	
The Commissioner	is authorized t	o: (check all that apply)		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) in	ndicated below	Credit any over	payments	1804	920 °	1804	920 *	Requesting publication of SIR prior to Examiner action	
		quired under 37 CFR §§ 1.16, 1.	.17, 1.18 and 1.20.	1005	1 940*	4005	1 840 *	Requesting publication of SIR after	
				1805	1,840*	1805	1,040	Examiner action	
	entified deposit	except for the filing fee account		1251	110	2251	55	Extension for reply within first month	
	FEE C	ALCULATION		1252	410	2252	205	Extension for reply within second month	
1. BASIC	FILING F	E	"	1253	930	2253	465	Extension for reply within third month	
Large Entity	Small Entity			1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	<u> </u>
		Liver draw to a		1404	320	2401	160	Notice of Appeal	
1001 750 1002 330	2001 375 2002 165			1402	320	2402	160	Filing a brief in support of an appeal	
1002 530	2002 103	-		1403	280	2403	140	Request for oral hearing	
1003 520	2003 200	-		1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Provisional filing fee		1452	110	2452	55	Petition to revive - unavoidable	
	ė i i	STOTAL (1) (\$)		1453	1,300	2453	650	Petition to revive - unintentional	
	306	TOTAL (I)		1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA	CLAIM F	EES Extra Fee from		1502	470	2502	235	Design issue fee	
T Ole		Claims below	Fee Paid	1503	630	2503	315	Plant issue fee	
Total Claims Independent	. 20	X	-	1460	130	2460	130	Petitions to the Commissioner	
Claims L	3	=x	=	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Multiple Dependent		L	<u> </u>	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Fee Fee	Small Entity Fee Fee	Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Code (\$)	Code (\$)			1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 1201 84	2202 9 2201 42	Claims in excess of 20 Independent claims in excess	of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 280	2203 140	Multiple Dependent claim, if n		1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84	2204 42	**Reissue independent claims patent	over original	1802	900	1802		Request for expedited examination	
1205 18	2205 9	**Reissue claims in excess of	20 and over		e (specify)		500	of a design application	
1200	2200	original patent			_ (0,0001)				
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SUBMITTE								Complete	(if applicable)
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Based on PTO/SB/17 (01-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 05/02/2003. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature



Docket No.: 42390P10398

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAVID G. LEEPER

Application No.: 09/964,820

Filed: September 26, 2001

For: APPARATUS AND METHOD FOR

HANDOFF IN A WIRELESS NETWORK

Art Group: Not assigned

Examiner: Not assigned

RECEIVED

JUN 1 0 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated March 4, 2003 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: June 03, 2003

Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Derek S. Watson

Date

06-03-03

JUN .0 6. 2003 00

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2

Sheet 1 of

Co	omplete if Known	
Application Number	09/964,820	
Filing Date	September 26, 2001	************
First Named Inventor	David G. Leeper	
Art Unit	Not assigned	
Examiner Name	Not assigned	
Attorney Docket Number	42390P10398	

		U.S. PATEN	T DOCUMENTS	
_	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initials*	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	⊤6	
Initials*	tutation a. 1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	'	
	1	WO 01/20940 A1	03-22-2001	Martini et al.			
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Examiner	•	Date
Signature		Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (05-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 05/02/2003.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/964,820 Filing Date September 26, 2001 First Named Inventor David G. Leeper Art Unit Not assigned Examiner Name Not assigned Attorney Docket Number

Sheet 2 of 2 42390P10398 OTHER ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Examiner Cite T² Initials* No.1 XP-002212187, Specification of the Bluetoothh System "Wireless Connections Made 2 Easy", v1.0 B, December 1, 1999, pages 126 - 138. RECEIVED JUN 1 0 2003 Technology Center 2600

Examine	Date	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

Considered

Signature

^{&#}x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.